Predictive algorithms for early detection of retinopathy of prematurity

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ABSTRACT.

Purpose: To evaluate sensitivity, specificity and the safest cut-offs of three predictive algorithms (WINROP, ROPSscore and CHOP ROP) for retinopathy of prematurity (ROP).

Methods: A retrospective study was conducted in three centres from 2012 to 2014; 445 preterms with gestational age (GA) ≤ 30 weeks and/or birthweight (BW) ≤ 1500 g, and additional unstable cases, were included. No-ROP, mild and type 1 ROP were categorized. The algorithms were analysed for infants with all parameters (GA, BW, weight gain, oxygen therapy, blood transfusion) needed for calculation (399 babies).

Results: Retinopathy of prematurity (ROP) was identified in both eyes in 116 patients (26.1%), and 44 (9.9%) had type 1 ROP. Gestational age and BW were significantly lower in ROP group compared with no-ROP subjects (GA: 26.7 ± 2.2 and 30.2 ± 1.9, respectively, p < 0.0001; BW: 839.8 ± 287.0 and 1288.1 ± 321.5 g, respectively, p = 0.0016). Customized alarms of ROPSscore and CHOP ROP correctly identified all infants having any ROP or type 1 ROP. WINROP missed 19 cases of ROP, including three type 1 ROP. ROPSscore and CHOP ROP provided the best performances with an area under the receiver operating characteristic curve for the detection of severe ROP of 0.93 (95% CI, 0.90–0.96, and 95% CI, 0.89–0.96, respectively), and WINROP obtained 0.83 (95% CI, 0.77–0.87). Median time from alarm to treatment was 11.1, 5.1 and 9.1 weeks, for WINROP, ROPSscore and CHOP ROP, respectively.

Conclusion: ROPSscore and CHOP ROP showed 100% sensitivity to identify sight-threatening ROP. Predictive algorithms are a reliable tool for early identification of infants requiring referral to an ophthalmologist, for reorganizing resources and reducing stressful procedures to preterm babies.

Key words: algorithms – CHOP ROP – retinopathy of prematurity – RORScore – WINROP

Introduction

Retinopathy of prematurity (ROP) continues to be one of the primary causes of treatable childhood blindness, especially in the developing countries (Gilbert et al. 2005). During the last decade, the improvement of neonatal care has increased the survival rate of extremely immature babies. Evidence-based screening guidelines have been published through the years and may vary with the characteristics of the population of premature newborns. These guidelines are continuously revised to improve the identification of infants at risk of developing a sight-threatening form of ROP. In many Western countries, all infants with a gestational age (GA) ≤ 30 weeks and birthweight (BW) ≤ 1500 g are systematically included in screening programs with a fundus examination (Fierson et al. 2013). In middle income countries, type 1 ROP also affects more mature infants, who are thus included in the guidelines. However, the number of countries with no specific guidelines is still significant (Hellström et al. 2013). Although the incidence of all forms of ROP (type 1 and mild ROP) among very preterm babies (GA ≤ 28 weeks) has been reported up to 70%, the proportion of newborns who will
develop a severe type 1 ROP and require treatment is a much smaller percentage of infants. Type 1 ROP incidence may be variable, ranging from 5% to 35% (Early treatment for Retinopathy of Prematurity Cooperative Group 2003; Allegaert et al. 2004; Darlow et al. 2005; Markestad et al. 2005; Weber et al. 2005; Tommiska et al. 2007; Austeng et al. 2009; Cerman et al. 2014; Painter et al. 2015). Thus, only a limited percentage of the screened infants will eventually need treatment for a severe ROP. A delay in the identification of a type 1 ROP may rapidly lead to extensive tractional retinal detachment, dramatically jeopardizing all strategies to preserve vision. Prompt diagnosis and timely treatment are of paramount importance for good clinical practice and successful outcomes. Current guidelines for ROP screening are still mostly based on GA and BW (Fierson et al. 2013), with a large number of infants undergoing fundus examination. Fortunately, most mild ROP cases spontaneously resolve, as physiological retinal vascularization is completed.

Programs aimed at identifying those infants who are likely to develop type 1 ROP have led to the formulation of algorithms for ROP prediction. While the inclusion criteria of the current screening guidelines put all preterm babies at a common risk level, the algorithms take into account other postnatal factors, such as postnatal weight gain, thus better tailoring risk calculation. These algorithms may assist both ophthalmologists and neonatologists in planning an efficient policy for screening, thereby improving the management of the complex issues related to the prevention of the dire consequences of untreated ROP.

In this study, we hypothesized that the algorithms for ROP risk prediction are more effective at promptly and correctly identifying the infants who are at high risk of developing sight-threatening ROP, compared to current protocols. In view of this hypothesis, we attempted to validate three published algorithms: WINROP (Löfqvist et al. 2006, 2009); Hellström et al. 2009), ROPScore (Eckert et al. 2012) and CHOP ROP (Binenbaum et al. 2011, 2012) in a population of preterm newborns enrolled at three different centres.

Raw sensitivity (SE) and specificity (SP), with standard cut-off values, of the three algorithms were analysed. To optimize the clinical performances of the algorithms, newly adjusted cut-off values were also calculated.

**Patient population**

Three neonatal intensive care units (NICUs) of three university hospitals (Padova, Verona and Udine) participated in the study providing data on infants screened from 2012 through 2014. All babies with GA < 30 weeks and/or BW ≤ 1500 g were studied. Newborns with GA > 30 weeks or BW between 1500 and 2000 g, when clinically unstable, were included in the study as well. Clinical instability has been judged by the neonatologist of each NICU and was generally due to sepsis or respiratory problems. This resulted in the inclusion of 12 more children out of range. The mean number per year of admissions with GA ≤ 32 weeks from 2012 to 2014 is 120 in Padova (mean GA = 29 weeks), 90 in Verona (mean GA = 30.2) and 115 in Udine (mean GA = 29 weeks). The design and execution of the study was conducted in accordance with the tenets of Helsinki, and ethics committee approval was obtained.

**Patients and Methods**

All infants underwent serial fundoscopic examinations, with an indirect ophthalmoscope after pupil dilation, performed by experienced ophthalmologists, dedicated to ROP screening visits. These specialists from the three centres were also independently tested and agreed on the ophthalmoscopic classification of ROP. An interobserver agreement was also undertaken with κ statistic (κ > 0.9). The timing of visits was conducted according to the current screening guidelines, as approved by American Academy of Pediatrics and American Academy of Ophthalmology (Fierson et al. 2013). All included infants were submitted to the first ophthalmoscopic examination from 4 to 6 weeks after birth, and not before the 31st week of postmenstrual age (PMA) with the exception of extremely preterm infants (<25 weeks), who began screening earlier. Retinopathy of prematurity was graded for each infant and classified as ‘1’ corresponding to type 1 ROP, and ‘2’ corresponding to type 2 ROP (as defined by the ETROP study) (Fierson et al. 2013) and all other mild forms of ROP. To restrict a stressful procedure to the most critical infants, fundus photography was obtained by means of a customized fundus camera (RetCam Clarity Medical Systems, Inc., Pleasanton, CA, USA) only when at least stage 2 was identified.

Clinical data collected by all centres included GA, BW, ethnicity, weekly weights after birth, weight at the sixth week of life, blood transfusions, use of oxygen in mechanical ventilation (nasal cannula, continuous positive airway pressure, high-flow nasal cannula or nasal intermittent positive pressure ventilation). The time from first ROP diagnosis to laser treatment was also recorded. Three algorithms, WINROP, ROPScore and CHOP ROP, were calculated for each infant in a masked fashion by another ophthalmologist (MB) who was blinded for the correspondent ROP clinical findings. Sensitivity, specificity and the most efficient cut-off values of the algorithms were then calculated.

The WINROP is an online surveillance system, originally based on weekly postnatal weights and insulin growth factor (IGF)-1 serum levels (Löfqvist et al. 2006, 2009). The algorithm is run by the weekly upload of the weights until an alarm is called (Hellström et al. 2009). The algorithm classifies the risk into two categories: absence or presence of the risk of developing any ROP. In our study, the risk was stratified into four levels divided as follows: (A) no risk and no alarm; (B) low risk (GA > 29 weeks and/or BW > 850 g) and alarm at PMA ≥ 32 weeks; (C) low risk and alarm at PMA < 32 weeks; and (D) high risk (GA < 29 weeks and/or BW < 850 g) (Hellström 2009). The algorithm allows risk calculation only for infants with GA ≤ 32 weeks. ROP: Score is an easily accessible algorithm requiring BW, GA, weight at 6th week of life, presence or absence (up to the 6th week of life) of blood transfusion and oxygen in mechanical ventilation (Eckert et al. 2012). The formula is in public domain and reported on a Microsoft Excel spreadsheet. The score is calculated only once per infant and not before the 6th week of life. The values obtained create a continuous
Statistical analysis

The analysis was made by sas® 9.3 software (SAS Institute, Cary, NC, USA). Tests were considered statistically significant with a p value of <0.05. A comparison of the infants’ demographic characteristics in relation to the development of ROP was made by means of Student’s t-test for independent samples. Sensitivity, specificity, positive predictive value (PPV) and negative predictive value of WINROP, ROPScore and CHOP ROP were calculated. The 95% confidence interval (CI) was calculated. The predictions of any ROP and type 1 ROP were calculated using the area of the receiver operating characteristic (ROC) curve and were compared by means of chi-square test. The best cut-offs of the three algorithms were also calculated. Whenever possible, a SE of 100% was chosen.

Results

Clinical observations

Overall, 445 preterm infants were screened in the three centres: 214 from Padova, 170 from Verona and 61 from Udine. Three hundred and ninety-nine infants had all data to obtain the algorithms and were thus eligible for the study. The main reason for exclusion was the transfer to other hospitals and the consequent loss of information, especially the weight gain. The demographic characteristics (particularly BW and GA) of the 46 excluded infants were comparable to the subset used in the study. Their mean GA was 31.3 ± 2.3 weeks (range 26–37), and mean BW was 1318 ± 174.2 g (range 990–1745). Because of clinical instability, 12 children out of the range of screening guidelines were included (mean GA = 31.6 ± 0.6; mean BW = 1737.8 ± 194.7). As none of them developed any ROP, their influence for threshold calculation has been negligible. The most represented ethnicity was Caucasian (83.2%) followed by Black infants (13.0%) and Asians (3.8%). The infants from the NICU of Padova had the lowest mean GA and BW. Demographic characteristics are listed in Table 1. The mean PMA at first diagnosis of any ROP was 33.8 weeks (range 30.0–41.1).

Retinopathy of prematurity was detected in both eyes in 116 of 399 patients (29.1%), and all infants had bilateral ROP. Type 1 ROP was present in 44 of 399 infants (11% of the entire population, 37.9% of those with any ROP). As expected, both GA and BW were significantly lower in ROP group compared with no-ROP babies (GA: 26.7 ± 2.2 and 30.2 ± 1.9 weeks, respectively ANOVA test, p < 0.0001; BW: 839.8 ± 287.0 g and 1288.1 ± 321.5 g, respectively, ANOVA test adjusted for GA, p = 0.0016). The proportion of infants with ROP and type 1 ROP was inversely proportional to GA. All babies born at the 23th week of GA developed ROP, and more than ½ had type 1 ROP (Fig. 1). At the 28th week, less than half of the babies developed any ROP. A BW of <500 g was always associated with ROP and nine of 10 developed type 1 ROP (Fig. 2); 41.4% of newborns weighing at least 750 g developed any ROP.

When considering the mean weight gain at the 6th week, those without ROP had significantly higher values than those with ROP (percentage of weight gain compared to BW: 63.8 ± 19.2% versus 54.8 ± 21.7% respectively, ANOVA test adjusted for GA and BW, p = 0.0006). All type 1 ROP babies were treated with laser. Interestingly, almost all infants who developed type 1 ROP had received blood transfusion and oxygen via mechanical ventilation. No significant ethnic differences in rates of ROP were observed.

Algorithm results

WINROP

The algorithm calculation could be obtained for 377 infants (22 had a GA ≥ 32 weeks which prevented WINROP calculation). The algorithm correctly classified at risk (level B, C and D): 97 of 116 infants who developed any ROP and 41 of 44 who developed type 1 ROP. Nineteen infants had bilateral type 1 ROP, and the consequent loss of information was the transfer to other hospitals and the consequent loss of information, especially the weight gain. The demographic characteristics (particularly BW and GA) of the 46 excluded infants were comparable to the subset used in the study. Their mean GA was 31.3 ± 2.3 weeks (range 26–37), and mean BW was 1318 ± 174.2 g (range 990–1745). Because of clinical instability, 12 children out of the range of screening guidelines were included (mean GA = 31.6 ± 0.6; mean BW = 1737.8 ± 194.7). As none of them developed any ROP, their influence for threshold calculation has been negligible. The most represented ethnicity was Caucasian (83.2%) followed by Black infants (13.0%) and Asians (3.8%). The infants from the NICU of Padova had the lowest mean GA and BW. Demographic characteristics are listed in Table 1. The mean PMA at first diagnosis of any ROP was 33.8 weeks (range 30.0–41.1). Retinopathy of prematurity was detected in both eyes in 116 of 399 patients (29.1%), and all infants had bilateral ROP. Type 1 ROP was present in 44 of 399 infants (11% of the entire population, 37.9% of those with any ROP). As expected, both GA and BW were significantly lower in ROP group compared with no-ROP babies (GA: 26.7 ± 2.2 and 30.2 ± 1.9 weeks, respectively ANOVA test, p < 0.0001; BW: 839.8 ± 287.0 g and 1288.1 ± 321.5 g, respectively, ANOVA test adjusted for GA, p = 0.0016). The proportion of infants with ROP and type 1 ROP was inversely proportional to GA. All babies born at the 23th week of GA developed ROP, and more than ½ had type 1 ROP (Fig. 1). At the 28th week, less than half of the babies developed any ROP. A BW of <500 g was always associated with ROP and nine of 10 developed type 1 ROP (Fig. 2); 41.4% of newborns weighing at least 750 g developed any ROP.

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Table 1. Demographic characteristics of the three centres involved in the study.

<table>
<thead>
<tr>
<th>Centre</th>
<th>Padova</th>
<th>Verona</th>
<th>Udine</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sample, n (%)</td>
<td>214 (48.1)</td>
<td>170 (38.2)</td>
<td>61 (13.7)</td>
<td>445 (100)</td>
</tr>
<tr>
<td>Gestational age, weeks</td>
<td>Mean ± SD 28.7 ± 2.5</td>
<td>30.0 ± 2.5</td>
<td>30.3 ± 2.3</td>
<td>29.4 ± 2.6</td>
</tr>
<tr>
<td>Minimum</td>
<td>23.0</td>
<td>23.4</td>
<td>24.0</td>
<td>23.0</td>
</tr>
<tr>
<td>Maximum</td>
<td>33.7</td>
<td>37.0</td>
<td>32.9</td>
<td>37.0</td>
</tr>
<tr>
<td>Birthweight, grams</td>
<td>Mean ± SD 1094.7 ± 371.8</td>
<td>1231.0 ± 329.4</td>
<td>1401.0 ± 382.6</td>
<td>1188.8 ± 372.2</td>
</tr>
<tr>
<td>Minimum</td>
<td>440</td>
<td>476</td>
<td>630</td>
<td>440</td>
</tr>
<tr>
<td>Maximum</td>
<td>2300</td>
<td>2000</td>
<td>2612</td>
<td>2612</td>
</tr>
<tr>
<td>Any ROP, n (%)</td>
<td>131 (61.2)</td>
<td>146 (85.9)</td>
<td>52 (85.2)</td>
<td>289 (65.1)</td>
</tr>
<tr>
<td>Yes</td>
<td>83 (38.8)</td>
<td>24 (14.1)</td>
<td>9 (14.8)</td>
<td>116 (25.9)</td>
</tr>
<tr>
<td>Total</td>
<td>214 (100)</td>
<td>170 (100)</td>
<td>61 (100)</td>
<td>445 (100)</td>
</tr>
<tr>
<td>Type 1 ROP, n (%)</td>
<td>185 (86.4)</td>
<td>158 (95.1)</td>
<td>58 (95.1)</td>
<td>341 (76.7)</td>
</tr>
<tr>
<td>Yes</td>
<td>29 (13.6)</td>
<td>12 (7.1)</td>
<td>3 (4.9)</td>
<td>44 (9.3)</td>
</tr>
<tr>
<td>Total</td>
<td>214 (100)</td>
<td>170 (100)</td>
<td>61 (100)</td>
<td>445 (100)</td>
</tr>
</tbody>
</table>

ANOVA = analysis of variance, ROP = retinopathy of prematurity.
* The comparison among mean values with ANOVA test (p < 0.0001), followed by Tukey’s post hoc test for multiple comparison, showed mean gestational age and birth weight in Padova significantly inferior to Verona and Udine (p < 0.05), while no statistically significant difference between Verona and Udine was found.
infants who were not considered at risk (no alarm) by WINROP developed ROP lesions, and three of them required laser treatment for type 1 ROP (Table 2). The median time from alarm to treatment was 11.1 weeks (range 6.9–19.9).

ROP Score

In our population, the algorithm values ranged from 6.6 to 20.5 (reference values between 9.1 and 21.6; Eckert et al. 2012). Setting the algorithm with the standard cut-offs (11 for any ROP and 14.5 for type 1 ROP), all babies with ROP and with type 1 ROP were identified. To maintain the highest SE (zero false negatives), while also maximizing SP, we adjusted the cut-off alarms and confirmed the value of 11, as ‘any ROP’ cut-off value, and introduced a customized value of 15.8, for type 1 ROP. This allowed false positives for type 1 ROP drop from 173 to 110. ROP Score correctly identified all 116 infants who developed any ROP and the 44 infants who developed type 1 ROP. Of the 202 infants of 399 (50.6%) who scored between 11 and 15.8, 16 developed ROP but none was type 1. All type 1 ROP infants scored over 15.8 (Table 2). The median time from alarm to treatment was 5.1 weeks (range 0.9–13.9).

Chop rop

This algorithm was originally designed to identify children with sight-threatening type 1 ROP (Binenbaum et al. 2012). In our sample, the values of the algorithm ranged from 0 to 0.735. Although the algorithm was updated weekly, the first value for each infant was used for the statistical analysis. With a standard cut-off alarm value of 0.010, all the 44 babies who developed type 1 ROP could be identified. To increase SP, the alarm threshold was adjusted to 0.016 and all type 1 ROP were still identified, while false positives dropped from 141 to 115 (Table 2). The median time from alarm to treatment was 9.1 weeks (range 4.9–17.9).

Sensitivity and specificity

For WINROP, the category no alarm/no risk (A), compared with the presence of any risk (B, C and D), showed the best SE at identifying both infants with any ROP and infants with type 1 ROP; therefore, the stratification into four risk levels did not increase the SE of the algorithm in our population. At identifying any ROP, WINROP never reached the target SE of 100%, while ROP Score could identify all affected infants over the threshold 11. ROP Score provided the best performances for type 1 ROP for all parameters.

Receiver operating characteristic curve analysis for the detection of any ROP gave an area under the curve (AUC) of 0.89 for ROP Score, 0.88 for CHOP ROP that were significantly higher than WINROP (WINROP AUC = 0.81, chi-square test p < 0.0001) (Fig. 3A). For the detection of type 1 ROP, both ROP Score and CHOP ROP provided high AUC values (AUC = 0.93 for both algorithms), significantly higher than WINROP (AUC = 0.83, chi-square test p < 0.0001) (Fig. 3B).

Discussion

In our study, two algorithms (ROP Score and CHOP ROP) correctly identified all newborns that developed any type of ROP and/or more aggressive type 1 ROP long before the appearance of retinal lesions. All algorithms adopted GA, BW and weight gain to quantify risk, with ROP Score also considering oxygen administration and blood transfusions. From this study, we may conclude that ROP Score and CHOP ROP, which allow cut-off alarm customization, seem to be the most accurate for early prediction.
of a sight-threatening ROP. All tested algorithms led to the identification of an at-risk infant, with a level of accuracy from moderate to high, far in advance compared with the clinical detection of retinal lesions, with a median time from alarm to diagnosis and treatment of severe ROP of 9.1 and 11.1 weeks, for CHOP ROP and WINROP, respectively. The need to collect data at the 6th week of life justifies a shorter time from alarm to treatment for ROPScore (5.1 weeks) and may create a limitation in its use, for example, when an early and rapid form or ROP, that is aggressive posterior ROP, is present. Adjusted ROPSscore showed the highest potential capability of safely reducing the need of a referral for an ophthalmological evaluation. For any type of ROP detection, 163 babies of 377 (43%) with WINROP and 43 of 399 (11%) for ROPSscore would have skipped referral to the ophthalmologist. However, WINROP missed 19 cases (with three cases of type 1 ROP). Both ROPSscore and CHOP ROP had zero false negative for type 1 ROP detection, thus hypothetically reducing ocular examination of 245 (61%) and 240 (60%) infants, respectively.

Recent epidemiologic studies demonstrate that ROP still represents an important cause of potentially treatable and possibly preventable childhood blindness worldwide (Hameed et al. 2004; Good & Gendron 2005; Lad et al. 2008, 2009; Gunn.

### Table 2. Prediction of any ROP with WINROP and ROPSscore (A) and prediction of type 1 ROP (T1ROP) with WINROP, ROPScore and CHOP ROP (B).

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>WINROP</th>
<th>ROPScore</th>
<th>CHOP ROP</th>
</tr>
</thead>
<tbody>
<tr>
<td>CUT-OFF VALUE</td>
<td>ALARM</td>
<td>ALARM</td>
<td>ALARM</td>
</tr>
<tr>
<td>Correctly predicted ROP/True ROP</td>
<td>97/116</td>
<td>116/116</td>
<td>9.0</td>
</tr>
<tr>
<td>SENSITIVITY % (95% CI)</td>
<td>83.6 (75.8–89.7)</td>
<td>100 (96.8–100)</td>
<td>15.2 (11.5–19.8)</td>
</tr>
<tr>
<td>Correctly predicted No-ROP/True No-ROP</td>
<td>144/261</td>
<td>43/283</td>
<td></td>
</tr>
<tr>
<td>SPECIFICITY % (95% CI)</td>
<td>55.2 (49.1–61.1)</td>
<td>15.2 (11.5–19.8)</td>
<td></td>
</tr>
<tr>
<td>Correctly predicted ROP/All predicted ROP</td>
<td>97/214</td>
<td>116/356</td>
<td>0.010*</td>
</tr>
<tr>
<td>POSITIVE PREDICTIVE VALUE % (95% CI)</td>
<td>45.3 (38.8–52.0)</td>
<td>32.6 (27.9–37.6)</td>
<td></td>
</tr>
<tr>
<td>Correctly predicted No-ROP/All predicted No-ROP</td>
<td>144/163</td>
<td>43/43</td>
<td></td>
</tr>
<tr>
<td>NEGATIVE PREDICTIVE VALUE % (95% CI)</td>
<td>88.3 (82.5–92.4)</td>
<td>100 (91.8–100)</td>
<td></td>
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<tr>
<td>CUT-OFF VALUE</td>
<td>ALARM</td>
<td>ALARM</td>
<td>ALARM</td>
</tr>
<tr>
<td>Correctly predicted T1ROP/ True T1ROP</td>
<td>41/44</td>
<td>44/44</td>
<td>44/44</td>
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<tr>
<td>SENSITIVITY % (95% CI)</td>
<td>93.2 (81.8–97.7)</td>
<td>100 (92.0–100)</td>
<td>100 (92.0–100)</td>
</tr>
<tr>
<td>Correctly predicted No-T1ROP/ True No-T1ROP</td>
<td>160/333</td>
<td>182/355</td>
<td>245/355</td>
</tr>
<tr>
<td>SPECIFICITY % (95% CI)</td>
<td>51.3 (46.1–56.4)</td>
<td>69.0 (64.0–73.6)</td>
<td></td>
</tr>
<tr>
<td>Correctly predicted T1ROP/ All predicted T1ROP</td>
<td>41/214</td>
<td>44/217</td>
<td>44/154</td>
</tr>
<tr>
<td>PPV % (95% CI)</td>
<td>19.6 (14.5–2.05)</td>
<td>20.3 (15.5–26.1)</td>
<td>28.5 (22.0–36.2)</td>
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<tr>
<td>Correctly predicted No-T1ROP/ All predicted No-T1ROP</td>
<td>160/163</td>
<td>182/182</td>
<td>245/245</td>
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<tr>
<td>NPV % (95% CI)</td>
<td>98.2 (94.7–99.4)</td>
<td>100 (97.9–100)</td>
<td>100 (98.2–100)</td>
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</tbody>
</table>

**PPV** = positive predictive value, **NPV** = negative predictive value, **ROP** = retinopathy of prematurity.

The performances (sensitivity, specificity, PPV and NPV) are shown for each algorithm.

* Cut-off value from literature data.
† Customized cut-off values, based on our population.

Fig. 3. Receiver operating characteristic (ROC) curves for detection of any type of retinopathy of prematurity (ROP) (A) and of type 1 ROP (B) according to the algorithms WINROP, ROPSscore and CHOP ROP. Receiver operating characteristic curve analysis shows high AUC values both for detection of any type of ROP (A) and of type 1 ROP (B). For each algorithm, the value of AUC and the 95% CI are indicated in brackets. AUC, area under the curve; CI, confidence interval.
et al. 2012; Tan et al. 2012; Hellström et al. 2013). Improvements of intensive care procedures in premature infants have led to an increase in survival of extremely preterm and low-weight newborns, which is still associated with a high incidence of this devastating disease, along with the appearance of new more aggressive forms of ROP (Miller et al. 2014).

Most scientific communities strongly recommend following strict screening guidelines to allow early diagnosis and prompt treatment, before the appearance of sight-threatening complications. According to standard screening criteria of GA and BW, a large number of preterm infants are screened when only a small number actually develop type 1 ROP requiring treatment. Other factors, such as weight gain after birth or systemic complications that have been recognized as potential triggers for progression to more aggressive forms, are normally not taken sufficiently into account. The physical stress of an invasive fundus examination to these fragile infants, the high cost of customized fundus cameras and the relative scarcity of experienced ophthalmologists capable of diagnosing and treating the disease are still today the major drawbacks of the current policies for GA- and BW-based ROP detection models.

New strategies in most countries are being adopted to offer more suitable solutions for a prompt diagnosis and a timely treatment. One is telemedicine, where centralized reading centres are created and collect retinal images from neonatal units, where a local efficient network of experienced eye consultants and costly equipment cannot be afforded (Fierson et al. 2015; Ying et al. 2015). These centres provide a prompt at-distance expert diagnosis and may also suggest surgical options in many clinical situations.

Predictive algorithms may help neonatologists to better target fundus examination at higher risk babies. In our series, all algorithms showed good performances at recognizing infants who developed type 1 ROP, with ROPScore and CHOP ROP showing 100% SE.

ROP pathogenesis is a multifactorial process: a first hypoxic preclinical phase is followed by a vasoproliferative phase. Insulin growth factor (IGF)-1, whose levels in preterm infants are lower, plays a permissive role for vascular endothelial growth factor (VEGF) to act for normal retinal vascularization. As IGF-1 levels begin to rise, the abnormally accumulated VEGF elicits a massive vasoproliferation within the hypoxic retina. Low postnatal IGF-1 levels are well correlated with low weight gain during the early weeks after birth. Thus, simple measurements of weight gain reflect the serum IGF-1 values of each infant (Hellström et al. 2009). Although GA and BW represent the most important factors driving the need for referral to expert ophthalmologists, all algorithms also consider weight gain.

Other conditions might influence the course of ROP. Among these, supplemental oxygen and blood transfusions are both included in the ROPScore. Anaemia, sepsis, apnoea, necrotizing enterocolitis and intraventricular haemorrhage have also been associated with severe ROP, but they do not appear in any algorithms. It is currently held that these factors act by lowering the IGF-1 and are implicitly measured with weight gain (Hellström et al. 2009). Some factors related to the variable racial and GA distribution of infants among centres as well as differences in healthcare systems may partially account for the need of customizing cut-off alarms. Although this disease still represents an important cause of childhood blindness in Western countries, the small number of ROP expert ophthalmologists is a growing challenge around the world. For those centres that have to manage a high number of preterm babies and cannot rely on a constant ophthalmological support, combined use of predictive algorithms and low-cost high-quality fundus cameras, might represent a suitable solution for successful ROP screening and proper management. The ideal scenario would be that each neonatal unit includes a retinal camera as part of its essential equipment (Gilbert et al. 2015); after identification through algorithms of at-risk babies, dedicated personnel at the neonatal unit (i.e. neonatologist, nurse or trained technician) collects the images and makes the decision on the correct management. This would mean that, in those units without constant ophthalmological support, the ophthalmologist would intervene if an infant develops what can be defined as a ‘referral warranted ROP’. This technology has the potential to greatly increase the coverage of programs and to share with the neonatal team the responsibility for screening (Vasalaki et al. 2015).

In clinical practice, the risk stratification operated by the algorithms would greatly reduce the number of infants undergoing screening visits and the workload for ophthalmologists. In this hypothesis, in our population, the reduction would have meant 61% fewer infants undergoing fundus examination for ROPScore, 60% for CHOP ROP and 43% for WINROP, although WINROP incorrectly classified not at risk three cases who developed type 1 ROP.

Some limits to the use of the algorithms might be evident in low-income countries. In these areas, the performances of the algorithms are usually worse than in high-income countries (Härđ et al. 2010; Zepeda-Romero et al. 2012; Choi et al. 2013; Sun et al. 2013; Ko et al. 2015). Moreover, as WINROP does not calculate the risk for infants with GA > 32 weeks, its use is limited, especially in developing nations, where older preterm infants are at risk of developing even sight-threatening ROP. Härđ et al. 2010; Zepeda-Romero et al. 2012). Validation studies of WINROP are still ongoing. For example, a recent study has shown that a reassessment of WINROP can significantly improve the specificity of the algorithm (Lundgren et al. 2015).

Although the introduction of the algorithms is still in a preliminary phase and it cannot yet substitute current screening guidelines, it may significantly help to reduce the number of missed or late diagnoses. One limitation of our study data is the sample size. Most authors recommend larger sample size studies to build more precise algorithms and narrower 95% CIs. As ROP is a potentially blinding disease, we cannot miss even one case of type 1 ROP (Binnenbaum 2013). Another point is the limited ethnic variability of our population. Some authors recently demonstrated that there are ethnic differences in IGF-1 serum levels; specifically, infants born from black mother have significantly lower levels of IGF-1 at 32 and 33 weeks than other infants (Reddy et al. 2015). These data reinforce the
idea that the prediction of ROP risk is a race-specific phenomenon. In this hypothesis, we recommend that any ROP-team who starts using ROPScore or CHOP ROP would first validate those algorithms in its own population, to achieve the best and safest performances.

In conclusion, the predictive algorithms represent a promising and suitable tool to recognize preterm babies requiring referral to specialized ophthalmologist for prompt treatment. One major point is that infants who will develop a sight-threatening ROP may offer new opportunities for therapy aiming at preventing this devastating disease.

References


